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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/427,787	10/27/1999	PALANISWAMY RAJAN	22022.0004	3759

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[REDACTED] EXAMINER

NGUYEN, THU HA T

[REDACTED] ART UNIT [REDACTED] PAPER NUMBER

2155

DATE MAILED: 11/15/2002

14

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/427,787	RAJAN ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Thu Ha T. Nguyen	2155	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 08/30/02.
- 2a) This action is FINAL.      2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.
- 4) Claim(s) 1-8, 10 and 12-22 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-8, 10 and 12-22 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Disposition of Claims

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) The oath or declaration is objected to by the Examiner.

#### Priority under 35 U.S.C. §§ 119 and 120

- 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) All b) Some \* c) None of:  
1. Certified copies of the priority documents have been received.  
2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
a) The translation of the foreign language provisional application has been received.
- 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

#### Attachment(s)

- 1) Notice of References Cited (PTO-892)      4) Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.  
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)      5) Notice of Informal Patent Application (PTO-152)  
3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) 8, 10, 12, 13      6) Other: \_\_\_\_\_

### **DETAILED ACTION**

1. Claims 1-8, 10, 12-22 are presented for examination.
2. Claims 9 and 11 are canceled without prejudice.

### **Response to Arguments**

3. Applicant's arguments filed on August 30, 2002 have been fully considered but they are not persuasive because of the following reasons:
  4. Applicants argue that Bakshi does not teach or suggest the step of aggregating data associated with the end user by the host computer from one or more information providers. In response to Applicants' argument, Examiner concludes that Bakshi does teach the step of aggregating data associated with the end user by the host computer from one or more information providers as shown in figures –12, col. 3 lines 66-col. 4 lines 5, col. 4 lines 31-58, col. 7 lines 15-57. As shown in Bakshi's invention, when the client request information, the remote proxy in the transcoding server retrieves information from external network resources (i.e., content servers, service providers) based on content types or datatypes of clients.
  5. Furthermore, applicants argue that Bakshi does not teach or suggest the aggregated data be encrypted prior to transmission to the client. In response to Applicants' argument, examiner concludes that Bakshi does teach the aggregated data

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be encrypted before transmitted to the client as shown in col. 3 lines 30-40, col. 5 lines 22-45

Therefore, the examiner asserts that cited prior arts teach or suggest the subject matter broadly recited in independent claims 1, 14 and 22. Claims 2-8, 10, 12-13, 15-21 are also rejected at least by virtue of their dependency on independent claims and by other reasons set forth in the previous office action [see paper no. 7]. Accordingly, claims 1-8, 10, and 12-22 are respectfully rejected.

### **Claim Rejections - 35 USC § 102**

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --  
(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 37 1(c) of this title before the invention thereof by the applicant for patent.

7. Claims 1-8, 10, and 12-22 are rejected under 35 U.S.C. § 102(e) as being anticipated by **Bakshi et al.**, (hereinafter Bakshi) U.S. Patent No. **6,345,300**.

8. As to claim 1, **Bakshi** teaches the invention substantially as claimed, including a method for distributing, storing and retrieving data associated with an end

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user aggregated from one or more information providers between a host computer and a client computer associated with the end user, comprising the steps of:

- a) aggregating data associated with the end user by the host computer from one or more information providers (figures 1-2, col. 3 lines 66-col. 4 lines 5);
- (b) transmitting the aggregated data from the host computer to the client computer thereby causing the client computer to store the aggregated data (figures 1, 3, col. 2 lines 44-col. 3 lines 15, col. 5 lines 46-col. 6 lines 21);
- (c) receiving by the host computer a request concerning the aggregated data (figure 1, col. 2 lines 44-col. 3 lines 29);
- (d) receiving by the host computer the stored aggregated data from the client computer (figure 1, col. 3 lines 1-29); and
- (e) servicing by the host computer the received request based on the received, stored aggregated data to generate a request result (figures 1-2, 4, step 50, col. 3 lines 16-col. 4 lines 66).

9. As to claim 2, **Bakshi** teaches the invention substantially as claimed, further comprising the step of outputting the request result to a designated delivery platform (col. 2 lines 44-65, col. 3 lines 16-29).

10. As to claim 3, **Bakshi** teaches the invention substantially as claimed, wherein the designated delivery platform is selected from the group consisting of a facsimile, a telephone, a Web browser, an electronic mail destination, a Web page

residing on a Web server, a wireless device, an Internet client program and a print device (col. 6 lines 36-7 lines 13).

11. As to claim 4, **Bakshi** teaches the invention substantially as claimed, further comprising the step of encrypting the aggregated data by the host computer prior to transmission to the client computer and wherein the step of servicing the received request by the host computer comprises decrypting the received aggregated data by the host computer (col. 3 lines 30-40, col. 5 lines 22-45).

12. As to claim 5, **Bakshi** teaches the invention substantially as claimed, further comprising the step of outputting the request result to a designated delivery platform (col. 2 lines 44-65, col. 3 lines 16-29).

13. As to claim 6, **Bakshi** teaches the invention substantially as claimed, wherein the designated delivery platform is selected from the group consisting of a facsimile, a telephone, a Web browser, an electronic mail destination, a Web page residing on a Web server, a wireless device, an Internet client program and a print device (col. 6 lines 36-7 lines 13).

14. As to claim 7, **Bakshi** teaches the invention substantially as claimed, further comprising the step of servicing requests concerning the stored aggregated data by the client computer (figures 1-2, 4, step 50, col. 3 lines 1-col. 4 lines 66).

15. As to claim 8, **Bakshi** teaches the invention substantially as claimed, further comprising the step of servicing requests concerning the stored aggregated data by the client computer (figures 1-2, 4, step 50, col. 3 lines 1-col. 4 lines 66).

16. As to claim 9, **Bakshi** teaches the invention substantially as claimed, further comprising the step of transmitting requests concerning the aggregated data from the client computer to the host computer (figures 1, 4, col. 2 lines 44-col. 3 lines 29).

17. As to claim 10, **Bakshi** teaches the invention substantially as claimed, wherein the received request originates from a source selected from the group consisting of a telephone, an electronic mail system, a wireless device, a third computer, a Web server, a facsimile and an Internet client (figures 1, 2, col. 6 lines 36-7 lines 13).

18. As to claim 11, **Bakshi** teaches the invention substantially as claimed, wherein the step of storing the received aggregated data at the client computer comprises storing the aggregated data as cookie data (col. 2 lines 66-col. 3 lines 15, col. 6 lines 1-21).

19. As to claim 12, **Bakshi** teaches the invention substantially as claimed, wherein the step of transmitting the aggregated data from the host computer to the client computer comprises formatting the aggregated data as cookie data (figures 1, 2, 4, col. 2 lines 66-col. 3 lines 15, col. 6 lines 1-21).

20. As to claim 13, **Bakshi** teaches the invention substantially as claimed, wherein the step of receiving by the host computer the stored aggregated data from the client computer comprises receiving the stored aggregated data as cookie data (figures 1, 2, 4, col. 2 lines 66-col. 3 lines 15, col. 6 lines 1-21).

21. As to claim 14, **Bakshi** teaches the invention substantially as claimed, including a system for distributing, storing and retrieving data associated with an end user aggregated from one or more information providers between a host computer and a client computer associated with the end user, comprising a host computer in communication with the client computer, the host computer comprising a processor for performing the steps of:

- (a) aggregating data associated with the end user from one or more information providers (figure 1, col. 2 lines 44-65);
- (b) transmitting the aggregated data to the client computer (figure 1, col. 2 lines 44-65);
- (c) receiving a request concerning the aggregated data (figure 1, col. 2 lines 44-col. 3 lines 29);

(d) receiving stored aggregated data from the client computer (figure 1, col. 3 lines 1-29); and

(e) servicing the received request based on the received, stored aggregated data to generate a request result (figures 1-2, 4, step 50, col. 3 lines 16-col. 4 lines 66).

22. As to claim 15, **Bakshi** teaches the invention substantially as claimed, the processor further performing the step of outputting the request result to a designated delivery platform (col. 2 lines 44-65, col. 3 lines 16-29).

23. As to claim 16, **Bakshi** teaches the invention substantially as claimed, wherein the designated delivery platform is selected from the group consisting of a facsimile, a telephone, a Web browser, an electronic mail destination, a Web page residing on a Web server, a wireless device, an Internet client program and a print device (col. 6 lines 36-7 lines 13).

24. As to claim 17, **Bakshi** teaches the invention substantially as claimed, the processor further performing the steps of encrypting the aggregated data by the host computer prior to transmission to the client computer and decrypting the received, stored aggregated data prior to servicing the received request (col. 3 lines 30-40, col. 5 lines 22-45).

25. As to claim 18, **Bakshi** teaches the invention substantially as claimed, the processor further performing the step of outputting the request result to a designated delivery platform (col. 2 lines 44-65, col. 3 lines 16-29).

26. As to claim 19, **Bakshi** teaches the invention substantially as claimed, wherein the designated delivery platform is selected from the group consisting of a facsimile, a telephone, a Web browser, an electronic mail destination, a Web page residing on a Web server, a wireless device, an Internet client program and a print device (col. 6 lines 36-7 lines 13).

27. As to claim 20, **Bakshi** teaches the invention substantially as claimed, wherein the received request originates from a source selected from the group consisting of a telephone, an electronic mail system, a wireless device, a third computer, a Web server, a facsimile and an Internet client (col. 6 lines 36-7 lines 13).

28. As to claim 21, **Bakshi** teaches the invention substantially as claimed, the processor further performing the step of formatting the aggregated data as cookie data prior to transmission (col. 2 lines 66-col. 3 lines 15, col. 6 lines 1-21).

29. As to claim 22, **Bakshi** teaches the invention substantially as claimed, including a digital, computer-readable storage device storing instructions that when executed on a processor distribute, store and retrieve data associated with an end user

aggregated from one or more information providers between a host computer and a client computer associated with the end user, by performing the steps comprising:

- (a) aggregating data associated with the end user from one or more information providers (figure 1, col. 2 lines 44-65);
- (b) transmitting the aggregated data to the client computer (figure 1, col. 2 lines 44-65);
- (c) receiving a request concerning the aggregated data (figure 1, col. 2 lines 44-col. 3 lines 29);
- (d) receiving stored aggregated data from the client computer (figure 1, col. 3 lines 1-29); and
- (e) servicing the received request based on the received, stored aggregated data to generate a request result (figures 1-2, 4, step 50, col. 3 lines 16-col. 4 lines 66).

## Conclusion

30. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any

extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

31. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

32. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thu Ha Nguyen, whose telephone number is (703) 305-7447. The examiner can normally be reached Monday through Friday from 7:30 AM to 4:30 PM.

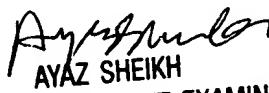
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, SPE Ayaz R. Sheikh, can be reached at (703) 305-9648.

Any inquiry of a general nature of relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-9600.

The fax number for art unit 2155 is (703) 305-7201.

Thu Ha Nguyen

November 8, 2002

  
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